

COLEMAN SUDOL SAPONE, P.C.  
PATENT, TRADEMARK AND COPYRIGHT MATTERS

708 THIRD AVENUE, 14TH FLOOR  
NEW YORK, NY 10017  
PHONE: (212) 679-0090  
FAX: (212) 679-9121  
E-MAIL: COSUD@EROLS.COM



EXPRESS MAIL No.: EL 704550064 US Deposited: January 22, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under  
Section 110 on the date indicated above and is addressed to: COMMISSIONER FOR PATENTS,  
Washington, DC 20231

  
Susanna Coppola



COMMISSIONER FOR PATENTS  
Washington, DC 20231

Date: January 22, 2001  
Docket No: B41-003

Sir:

Transmitted herewith for filing is the patent application in the name(s) of:  
**Lalit Narayan and Dechu P. Muthana**

**FOR: System and Method to Establish Trading Mechanisms Employing Auctions and Reverse Auctions**

**ENCLOSED ARE:**

- (1) Specification (pg. 1-25), Claims (pg. 26-34) & Abstract (page 35);
- (2) (5) sheet(s) of Drawings (Figs. 1-5);
- (3) Transmittal of Application Under 37 CFR § 1.41

**\*\* Small Entity Status applies to applicants.**


**THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

	Claims filed	Extra	SMALL ENTITY	or	LARGE ENTITY
Basic Fee			\$ 355.00		\$ 710.00
Total Claims	32 - 20 =	12 x \$ 09.=	108.00	x \$ 18.=	
Indep. Claims	3 - 03 =	0 x \$ 40.=	0.00	x \$ 80.=	
( ) Multiple Dependent Claim Presented?		+ \$ 135.=		+ \$ 270.=	
Total Filing Fee:		\$ 463.00		\$	
Assignment recordation fee (\$ 40.00):		\$		\$	
CHECK ENCLOSED:		\$ 463.00		\$	

The Commissioner is hereby authorized to charge payment of all fees associated with the filing and prosecution of this application  
but not limited to: (X) Any patent application processing fees under 37 CFR 1.17  
(X) Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

and credit any overpayment to Deposit Account No. 04-0838. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
R. Neil Sudol, Reg. No. 31,669

Enclosures

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Lalit Narayan and Dechu P. Muthana  
Serial No. : To be assigned  
Filed : Herewith  
For : System and Method to Establish Trading Mechanisms Employing Auctions  
and Reverse Auctions

---

Commissioner for Patents  
Washington, DC 20231

**TRANSMITTAL OF APPLICATION UNDER 37 CFR § 1.41**

SIR:

The undersigned attorney for applicants hereby make application for Letters Patent on behalf of Applicants Lalit Narayan and Dechu P. Muthana, both citizens of India. Applicants respectively reside at:

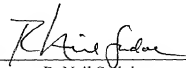
**7 Whitney Place, Princeton Junction, New Jersey 08550**

**and**

**50 Battery Place, Apt. 7Z, New York, New York 10280**

Please direct all communication regarding this patent application to R. Neil Sudol, Coleman  
Sudol Sapone, P.C., 708 Third Avenue, 14th Floor, New York, New York 10017.

Respectfully submitted,  
COLEMAN SUDOL SAPONE, P.C.

By:   
R. Neil Sudol  
Reg. No. 31,669

708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090

Dated: January 22, 2001